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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/834,771	04/13/2001	Radia J. Perlman	SUN-P5651-RSH	3759
22835	7590	06/29/2005	EXAMINER	
A. RICHARD PARK, REG. NO. 41241 PARK, VAUGHAN & FLEMING LLP 2820 FIFTH STREET DAVIS, CA 95616			MAIS, MARK A	
			ART UNIT	PAPER NUMBER
			2664	

DATE MAILED: 06/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/834,771

Applicant(s)

PERLMAN ET AL.

Examiner

Mark A. Mais

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 11 February 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Claim Objections***

1. Claim 2 is objected to because of the following informalities: it still recites “neighboring needs.” The examiner has interpreted this as “neighboring nodes.” Appropriate correction is required.

### ***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-27 are rejected under 35 U.S.C. 102(e) as being anticipated by Saleh et al. (US Patent Publication 201/0033548).

4. With regard to claims 1, 10 and 19, Saleh et al. discloses an apparatus, and computer readable storage medium that employ a flooding protocol to send packets between a source and a destination, the method comprising:

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receiving a packet containing data at an intermediate node [**Fig. 14, nodes 0-8**] located between the source and the destination *wherein the packet is a data packet that is enroute from the source to the destination* [**Fig. 14, nodes A and B**];

wherein the packet is received from a first neighboring node [**for example, neighbors exchange hello messages which contain link state advertisements (LSAs) (which also contain the hop\_count), page 6, paragraphs 0076-0077**];

determining whether the packet has been seen before at the intermediate node [**checking link state ID (LSID) of the LSA, page 8, paragraph 0091**] ; and

if the packet has not been seen before, forwarding the packet to neighboring nodes of the intermediate node [**LSA is added to the LSAawaitingToBeSent list (fig. 5, step 550) when the packet has not been seen before, page 8, paragraph 0097**].

5. With regard to claims 2, 11, and 20, Saleh et al. discloses that forwarding the packet to neighboring nodes involves forwarding the packet to all neighboring nodes except the first neighboring node from which the packet was received [**the LSA is sent to all neighbors except the neighbor from which it received the LSA, page 8, paragraph 0097**].

6. With regard to claims 3, 5, 6, 12, 14, 15, 23, 24 Saleh et al. discloses examining a sequence number,  $S_R$ , contained within the packet to determine whether the sequence number has been seen before and comparing it to the highest received sequence number  $S_H$  stored at the node based on the source and destination of the packet [**the new LSA (which includes information about the ID of the originating node as well as the intermediate nodes, see fig. 18) is**

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**compared to the current LSA and either discarded if seen before or overwritten if not seen before, page 8, paragraph 0099. ].**

7. With regard to claims 4, 13, and 22, Saleh et al. discloses the sequence number includes one of : a sequence number inserted into a payload of the packet; a sequence number located within an Internet Protocol (IP) header of the packet; and a sequence number located within a layer 4 header of the packet [fig. 17, hello protocol header contains LSID field 1830, neighbor node ID 1845 and link ID 1850, page 19, paragraph 0235; *see also* fig. 16, protocol header which includes a sequence number 1660, origin ID 1670, and target node ID 1680, page 17, paragraph 0229].

8. With regard to claims 7, 16, and 25 Saleh et al. discloses determining whether the packet has been seen before involves examining a record, R [link state database, page 8, paragraph 0099], indicating which of N possible sequence numbers [interpreted by examiner as ANY possible number of sequence numbers, e.g., the LSID can be 32 bits, page 8, paragraph 0091] preceding a highest received sequence number, Su, have been seen before [the nodes compare LSIDs, and when two LSIDs are compared, the node looks up the current LSA in the database, and then compares the LSAs to see which one is more recent, page 9, paragraph 0099. The LSID FIRST\_LSID takes precedence, page 8, paragraph 0100; *see also* page 11, paragraph 0134 and page 14, paragraphs 0172, wherein Saleh et al. discloses that if a VP goes down, it must re-establish each VP by sending a Restore Path Request (RPR) message (page 11, paragraph 0134). When processing the restore path request entry

**(RPRE) that is received, the RPR sequence number is analyzed whether it falls between the FirstSequenceNumber and the LastSequenceNumber or is considered invalid (page 14, paragraph 0172)].**

9. With regard to claims 8, 9, 17, 18, 26 and 27, Saleh et al. discloses that determining whether the packet has been seen before involves: looking up a highest received sequence number,  $S_H$ ;

if  $S_R > S_H$ , overwriting  $S_H$  with  $S_R$ , updating a record, R, [link state database, page 8, paragraph 0099, the LSID can be 32 bits, page 8, paragraph 0091], indicating which of N possible sequence numbers [interpreted by examiner as ANY possible number of sequence numbers, e.g., the LSID can be 32 bits, page 8, paragraph 0091] preceding  $S_H$  have been seen before, and forwarding the packet to the neighboring nodes [the received LSA LSID is compared to the LSID of the current LSA in the database, and the most recent one is installed in the database, page 8, paragraph 0099; then the LSA is added to the LSAawaitingToBeSent list (fig. 5, step 550), page 8, paragraph 0097];

if  $S_H - N > S_R$ , discarding the packet [if the LDS ID of the LSA in the database is more recent, the received LSA is discarded, page 8, paragraph 0099], and

if  $S_H \geq S_R \geq S_H - N$ , then if R indicates that  $S_R$  has been seen before, discarding the packet [if the LSID of the LSA in the database is more recent, the received LSA is discarded, page 8, paragraph 0099], and if R indicates the packet has not been seen before, updating R to indicate that  $S_R$  has been seen, and forwarding the packet to the neighboring nodes [if the LSID of the two packets are the same ( $S_H = S_R$ ), the HOP\_COUNTS are compared, if the new packet has a lower hop count, the most recent one is installed in the database;

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page 8, paragraph 0100; then the LSA is added to the LSAawaitingToBeSent list (fig. 5, step 550), page 8, paragraph 0097].

### ***Response to Arguments***

10. Applicant's arguments filed February 11, 2005 have been fully considered but they are not persuasive.

11. Applicant argues that Selah teaches exchanging “special hello packets”, while applicant’s invention examines “normal data packets” and only forwards previously unseen data packets to all adjacent nodes except the node from which the previously unseen packets were received from [Applicant’s amendment of February 11, 2005, page 10, paragraphs 2 and 3].

12. In response to applicant's argument that the references fail to show certain features of applicant’s invention, it is noted that the features upon which applicant relies (i.e., forwarding only “normal data packets” and not forwarding “special hello packets”) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Moreover, as explained for claims 1, 10, and 19 above, Saleh et al. forwards packets enroute from the source to the destination [see Saleh et al., Fig. 14, nodes A and B].

### ***Conclusion***

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13. Accordingly, **THIS ACTION IS MADE FINAL**. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

14. A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark A. Mais whose telephone number is (571) 272-3138. The examiner can normally be reached on 6:00-4:30.

16. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wellington Chin can be reached on (571) 272-3134. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.



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17. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

May 23, 2005

A handwritten signature in black ink, appearing to read 'W. Chin', with a long horizontal line extending to the right.

WELLINGTON CHIN  
SUPERVISORY PATENT EXAMINER